PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: 0.5. DEPART MENT OF COMMERCE

1651

WAR & TRADERING

## FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

235.00

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known								
Application Number	09/937,181							
Filing Date	March 22, 2000 (INT'L)							
First Named Inventor	Geoffrey P. DOBSON							
Examiner Name	Ruth A. Davis							
Group / Art Unit	1651							
Attornov Docket No.	36749-200650							

METHOD OF PAYMENT (check one)								FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge						3. AD	3. ADDITIONAL FEES							
1.	indicated fees and credit any over payments to:							Large Entity		Small Entity				
Depo	reit							Fee	Fee	Fee	Fee Description	Fee		
Acco		22-026	61			ŀ	Code 1051	(\$)	Code	(\$)	·	P <u>a</u> id		
Numi	ber							130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee			
Depo	sit						1052	30	2002	25	or cover sheet.			
Acco							1053	130	1053	130	Non-English specification			
Name		L					1812	2,520	1812	2,520	For filing a request for reexamination			
☐ Charge Any Additional Fee Required     ☐ Under 37 CFR 1.16 and 1.17							1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27								1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
2.	Paymer	t Enclose	ed:				1251	110	2215	55	Extension for reply within first month	55.00		
⊠ (	Check	☐ Cred	dit card	☐ Money		ther	1252	420	2252	210	Extension for reply within second month			
		_		Order			1253	950	2253	475	Extension for reply within third month			
1. E	BASIC FIL			ALCULATION			1254	1,480	2254	740	Extension for reply within fourth month			
Large	Entity S	Small E	ntity				1255	2010	2255	1005	Extension for reply within fifth month			
Fee	Fee F	ee F	-ee	Fee Description	า		1401	330	2401	165	Notice of Appeal			
Code	(\$)	Code (	\$)			Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal			
1001			385	Utility filing fee	1		1403	290	2403	145	Request for oral hearing			
1002 1003			170 265	Design filing fee Plant filing fee	· —		1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1004	770 2	2004 3	385	Reissue filing fe	e i		1452	110	2452	55	Petition to revive – unavoidable			
1005	160 2	005	80	Provisional filling	j fee		1453	1,330	2453	665	Petition to revive – unintentional	***		
							1501	1,330	2501	665	Utility issue fee (or reissue)			
		SUE	BTOTA	_ (1)	L	(\$) 0.00	1502	480	2502	240	Design issue fee			
2 FYTE	RA CLAIN	FEES				•	1503	640	25403	320	Plant issue fee			
Z. EXIII	CA OLAIII	LLO		Extra F	ee from	Fee	1460	130	1460	130	Petitions to the Commissioner			
_					elow	Paid	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)			
Total Claim Independen	. =	66	=	0 X		= 0	1808	180	1808	180	Submission of Information Disclosure Stmt	180.00		
Claims Multiple	Claims 3 5 = 0 X = 0					8021	40	8021	40	Recording each patent assignment per property (times number of				
Dependent				×	0	= 0	1809	770	2809	385	properties) Filing a submission after final rejection			
Large Fee	Entity Fee	Small Fee	Entit Fee		·•						(37 ČFR § 1.129(a))			
Code	(\$)	Code	(\$)	Fee Descript			1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))			
1202	18	2202	9	Claims in exc		reces of 2	1801	770	2801	385	Request for Continued Examination (RCE)			
1201 1203	86 290	2201 2204	43 145	Independent Multiple dene			1802					<b>├</b> ─┤│		
1204	86	2204	43	Multiple dependent claim, if not paid  ** Reissue independent claims over				900	1802	900	Request for expedited examination of a design application			
** Paissus claims in excess of 20 and														
1205 18 2205 9 Reissue dains in excess 67 20 and over original patent						Other f	ee (specif	y)	_					
SUBTOTAL (2) (\$) 0.00						Ī	• •		-					
			J.		(4, 0.00		*Redu	ced by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 235	.00		
**or number previously paid, if greater; For Reissues, see above											<u></u>			

1	SUBMITTED BY	//	1		4	<u> </u>		Con	nplete (if applicable)
ľ	Name (Print/Type)	Michael A.	50III		Regi	stration No. Attorney/Agent)	31,957	Telephone	(202) 344-4072
ĺ	Signature	M	7	Co		X		Date	March 19, 2004



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SEND TO: Commissioner for Patents, Mail Stop Patent Application, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Geoffrey P. DOBSON et al.

Art Unit: 1651

Appl. No:

09/937,181

Examiner: Ruth A. Davis

Confirmation No:

6148

Int'l Filing Date:

March 22, 2000

Atty. Docket No:

36749-200650

For: ORGAN ARREST, PROTECTION

AND PRESERVATION

Customer No:

26694

PATENT TRADEMARK OFFICE

## Information Disclosure Statement Under 37 C.F.R. § 1.97(c)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R. § 1.97 within the time specified under 37 C.F.R. § 1.97(c)(2).

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent and Trademark Office is notified of the documents which are listed on the attached Form PTO/SB/08A and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

The instant Information Disclosure Statement is being filed after the mailing date of a first Office action on the merits, but before receipt of an action that closes prosecution in the application. Accordingly, pursuant to 37 C.F.R. §1.97(c)(2), a fee of \$180.00 is attached.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that

the Examiner consider the documents and make them of record.

03/22/2004 HVUONG1 00000068 09937181

02 FC:1806

180.00 OP

Applicants: Geoffrey P. DOBSON et al. Application No.: 09/937,181

If no check is attached, please charge any necessary fee or credit any overpayment in connection with this Information Disclosure Statement to Deposit Account No. 22-0261.

Date: 3(164

Respectfully submitted,

Michael A. Gollin Registration No. 31,957

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Washington, D.C. 20043-9998

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Approved for use through 10/31/2002. OMB 0651-0031

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

Complete if Known Application Number 09/937,181 Filing Date March 22, 2000 First Named Inventor Geoffrey P. DOBSON Group Art Unit 1651 Examiner Name Ruth A. Davis 36749-200650 Attorney Docket Number

(use as many sheets as necessary) heet

**U.S. PATENT DOCUMENTS** U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, Lines, Where Relevant Cite No.<sup>1</sup> Kind Code<sup>2</sup> of Cited Document Cited Document Passages or Relevant Number Figures Appear MM-DD-YYYY (if known) 5,206,222 Forman et al. 04/27/1993 2 02/13/2001 6,187,756 Lee et al. 3 6,569,615 05/27/2003 Thatte et al.

				FOREIG	N PATENT DOCU	MENTS		
Examiner Cit Initials* No	C:4-	For	eign Patent Do	ocument	Name of Patentee	Date of Publication of	Pages, Columns, Lines,	
	No.1			Kind Code <sup>5</sup> ( <i>if known</i> )	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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Examiner Signature	Date Considered	

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.